



*Smale*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

IZUMI et al.

Atty. Ref.: 925-197

Serial No. 09/863,266

Group: 2871

Filed: May 24, 2001

Examiner: A. SCHECHTER

For: ACTIVE SUBSTRATE WITH PIXEL ELECTRODES MADE  
OF PHOTSENSITIVE CONDUCTIVE MATERIAL

\* \* \* \* \*

April 26, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT**

- |                                     |  |          |
|-------------------------------------|--|----------|
| <input type="checkbox"/>            | 1. <b>PTO-1449 Pursuant to 37 CFR 1.97(b)</b><br>[within 3 months of filing or prior to 1st Office Action] | N/C      |
| <input checked="" type="checkbox"/> | 2. <b>Statement Pursuant to 37 CFR 1.97(c)</b><br>[before Final Office Action and Allowance]               | N/C      |
| <input type="checkbox"/>            | 3. <b>Fee Payment Pursuant to 37 CFR 1.97(c)</b><br>[before Final Office Action and Allowance]             | \$180.00 |
| <input type="checkbox"/>            | 4. <b>Pursuant to 37 CFR 1.97(d)</b><br>[before Issue Fee payment]   | \$180.00 |

The following are submitted in the above-identified application in compliance with 37 C.F.R. §§ 1.97 and 1.98:

- |                                     |  |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | 5. A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document (or foreign search report) is enclosed herewith. |
|-------------------------------------|--|

This paper is submitted in accordance with:

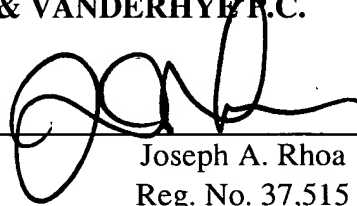
- |                          |  |
|--------------------------|--|
| <input type="checkbox"/> | 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action] |
|--------------------------|--|

- ☒ 7. 37 CFR 1.97(c): [before Final Office Action and Allowance, whichever is earlier]; and
- ☒ a) The required Statement made in item 9 below; or
- ☐ b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 10 below.
- ☐ 8. 37 CFR §1.97(d): [before issue fee payment]; and
- ☐ a) The fee (\$180.00) required by 37 CFR §1.17(p) is submitted herewith; and
- ☐ b) The required Statement is stated in item 9 below.
- ☒ 9. Statement under 37 CFR 1.97(e)
- ☒ a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that the JP items contained in this Information Disclosure Statement were first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement (each item contained in this IDS was the first citation of that item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to the filing of this IDS); or
- ☐ b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☒ 10. Please charge all deficiency fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: \_\_\_\_\_

  
Joseph A. Rhoa  
Reg. No. 37,515

JAR:caj

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**SERIAL NO.**

09/863,266

IZUMI et al.

GROUP

2871

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Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

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